

Export LC Update Drawing User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Update Drawings User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Update Drawing

This process involves update of an existing drawing under Export LC.

For Drawings under Export LC, initiated on account of MT 734, 752 and 799, update of drawing under Export LC is initiated to process the substituted documents received from beneficiary and response received from issuing bank with discrepancy highlighted under the LC.

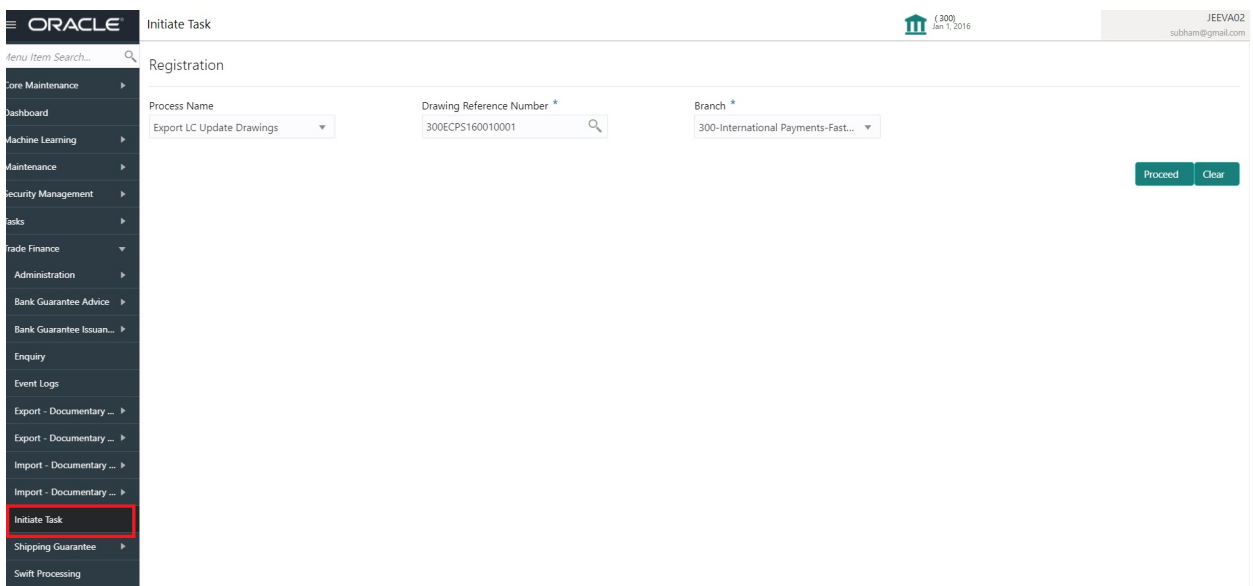
This section contains the following topics:

Common Initiation Stage	
Registration	Exceptions
Data Enrichment	Reject Approval
Multi Level Approval	

Common Initiation Stage

The user can initiate the new export LC update drawing request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the drawing reference number.
Branch	Select the branch.

Action Buttons

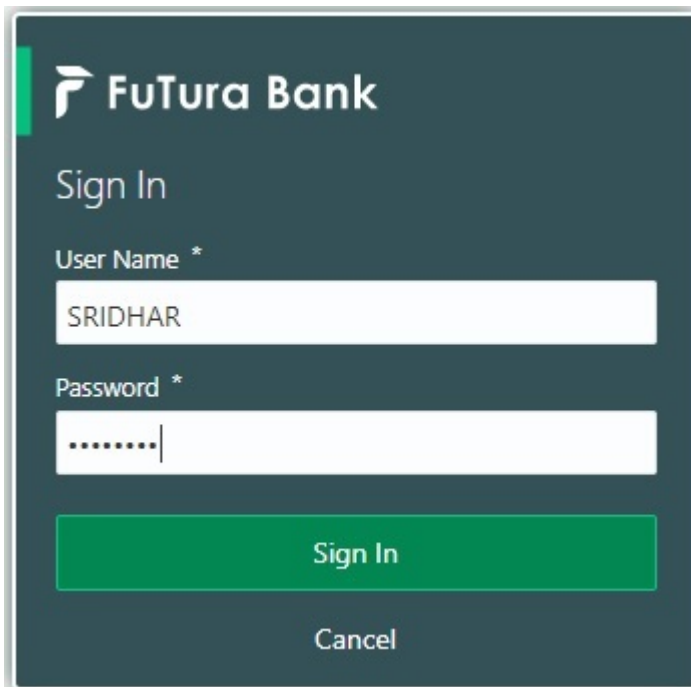
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

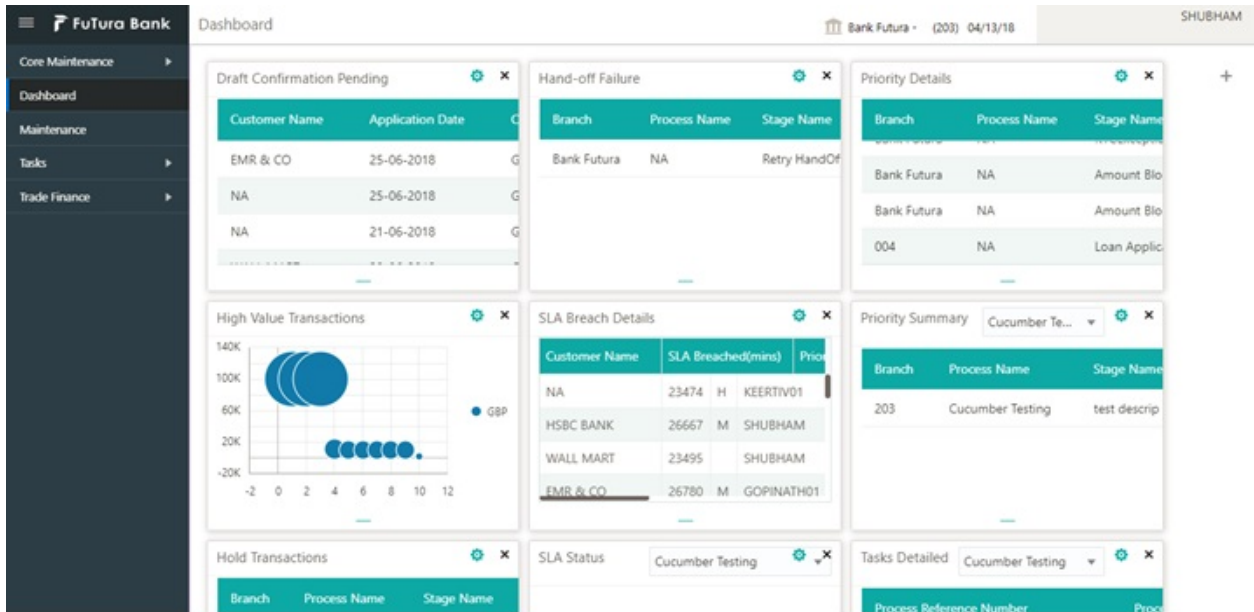
Registration

During registration stage, user can capture the basic details and upload the related documents received from issuing bank. System also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

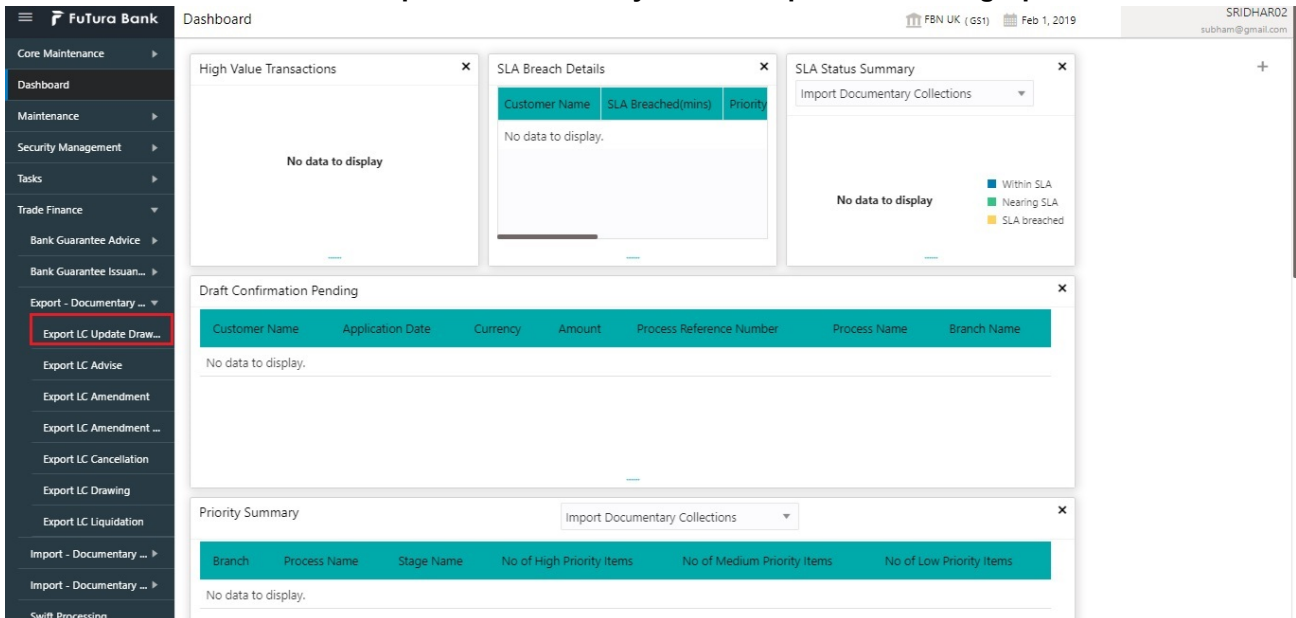
1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

A screenshot of the FuTura Bank Sign In interface. The background is dark teal. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters '.....'. Below the password field is a green 'Sign In' button. At the bottom center is a 'Cancel' link.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Drawing Update.



The registration stage has two sections Basic Details and Drawing Update Details. Let's look at the details of registration screens below:

Application Details

Export LC Update Drawings
Documents Remarks Customer Instruction Common Group Messages

Application Details

LC Advised by us *

☐

Drawing Reference Number *

PK2ESUN211250002

Export LC Reference Number

PK2ELAC211250007

Beneficiary

000153 NATIONAL FREI

Branch

PK2-Oracle Banking Trade Finan...

Priority

Medium

Submission Mode

Desk

Drawing Date *

May 5, 2021

Document Received date *

May 5, 2021

Process Reference Number

PK2ELCU000072561

View LC Events

LC Details

Documents Received

Drawing Reference Number

PK2ESUN211250002

Date of Expiry

Aug 3, 2021

Amount In Local Currency

GBP £30,000.00

Operation Type

NEG

Rebate Amount

GBP

Tenor Type

Sight

Applicant

001043 MARKS AND SP

Presenting Bank

Outstanding Drawing Value

GBP £30,000.00

Stage

FINAL

Customer Dispatch

☐

Product Code *

ESUN

Issuing Bank

003763 CITIBANK IRELA

Presenting Bank Reference Number

Outstanding LC Value

GBP £30,000.00

Nego/Finance Amount

GBP £800.00

Product Description

OUTGOING DOCUMENTARY SIGHT BIL

Issuing Bank Reference

3455676887

Drawing Amount

GBP £30,000.00


Additional Amount

Unlinked FX Rate

Hold Cancel Save & Close Submit

In case of MT798 message(738) application details is defaulted to SWIFT.

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
LC Advised by us	Toggle On: Set the toggle to On, if LC is advised by negotiating bank. Toggle Off: Set the toggle to Off, if LC is not advised by the negotiating bank.	
Drawing Reference Number	Provide the drawing number. Alternatively user can search the drawing number using LOV. In the LOV, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable drawing under LC. <div>  Note <u>In case of silent confirmation, user gets an alert message "The LC has been Silently Confirmed."</u> </div>	
Export LC Reference Number	Read only field. Exporter LC Reference Number will be auto-populated based on the selected LC from the LOV.	

Field	Description	Sample Values
Beneficiary	<p>LC Advised by us: Read only field. Beneficiary id and name will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the beneficiary customer from the LOV.</p> <p>If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p>	
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC from the LOV.</p>	203-Bank Futura -Branch FZ1
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	<p>Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk- Request received through Desk</p> <p>Courier- Request received through Courier</p>	Desk
Drawing Date	<p>Read only field.</p> <p>Drawing date will be auto-populated based on the selected drawing.</p>	04/13/2018
Drawing Received Date	<p>Read only field.</p> <p>Application to default the system date.</p>	
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p>	

LC Details

Drawing Details will be populated from the drawings. Registration user can update the fields if required.

LC Details

Documents Received

Drawing Reference Number

Date of Expiry

Amount In Local Currency

Operation Type

Rebate Amount

Tenor Type

Applicant

Presenting Bank

Outstanding Drawing Value

Stage

Customer Dispatch

Product Code *

Issuing Bank

Presenting Bank Reference Number

Outstanding LC Value

Nego/Finance Amount

Product Description

Issuing Bank Reference

Drawing Amount

Additional Amount

Unlinked FX Rate

Hold


Cancel

Save & Close

Submit

Provide the drawing Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	Enables to user to select the number of sets of documents received from the drop down: <ul style="list-style-type: none"> • First • Second • Both 	
Tenor Type	Read only field. Tenor will be auto-populated based on the selected drawing.	
Product Code	Read only field. Product code will be auto-populated based on the selected drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Reference Number	Read only field. Drawing reference will be auto-populated based on the selected drawing.	
Applicant	Read only field. Applicant will be auto-populated based on the selected drawing.	
Issuing Bank	<p>LC advised by us: Read only field.</p> <p>Issuing bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised us: Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <p>a) SWIFT code (if available)</p> <p>b) Name and address of the bank</p> <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
Issuing Bank Reference	<p>LC Advised by us: Read only field.</p> <p>Issuing bank reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the issuing bank reference number.</p>	

Field	Description	Sample Values
Date of Expiry	Read only field. Expiry date will be auto-populated based on the selected drawing.	
Presenting Bank	Read only field. Presenting Bank will be auto-populated based on the selected LC from the LOV.  Note If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Presenting Bank Reference Number	Read only field. Presenting Bank Reference number will be auto-populated based on the selected LC from the LOV.	
Drawing Amount	LC Drawing Amount will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Outstanding Drawing Value	Read only field. Outstanding drawing value will be auto-populated. This field displays the value as per the latest LC.	
Outstanding LC Value	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Additional Amount	This field enables user to provide any additional amount to be processed under this LC drawing.	
Operation Type	Operation type will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Stage	Select the stage from the LOV: <ul style="list-style-type: none"> • Initial • Final 	

Field	Description	Sample Values
Nego/Financing Amount	Negotiation/Financing Amount will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Unlinked FX Rate	Unlinked FX Rate will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	

Miscellaneous

Export LC Update Drawings

Documents Remarks Customer Instruction Common Group Messages

Application Details

LC Advised by us ☐ Drawing Reference Number * PK2EAPS211250501 Export LC Reference Number PK2ELAC211254518 Beneficiary 001044 GOODCARE PLC

Branch PK2-Oracle Banking Trade Finan... Priority Medium Submission Mode Desk Drawing Date * May 5, 2021

Document Received date * May 5, 2021 Process Reference Number PK2ELCU000007066

View LC Events

LC Details

Documents Received Tenor Type Usance Product Code * EAPS Product Description OUTGOING DOCUMENTARY USANCE E

Drawing Reference Number PK2EAPS211250501 Applicant 001043 MARKS AND SPENCER Issuing Bank 003763 CITIBANK IRELAND Issuing Bank Reference test2

Date of Expiry Nov 11, 2021 Presenting Bank Presenting Bank Reference Number Drawing Amount GBP £86,000.00

Amount In Local Currency GBP £86,000.00 Outstanding Drawing Value GBP £86,000.00 Outstanding LC Value GBP £80,000.00 Additional Amount

Operation Type ACC Stage INITIAL Nego/Finance Amount Unlinked FX Rate

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
LC Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from LC Issuance.	
Action Buttons		
Submit	<p>On submit, task will get moved to next logical stage of Export LC Update Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the Export LC Update Drawing Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

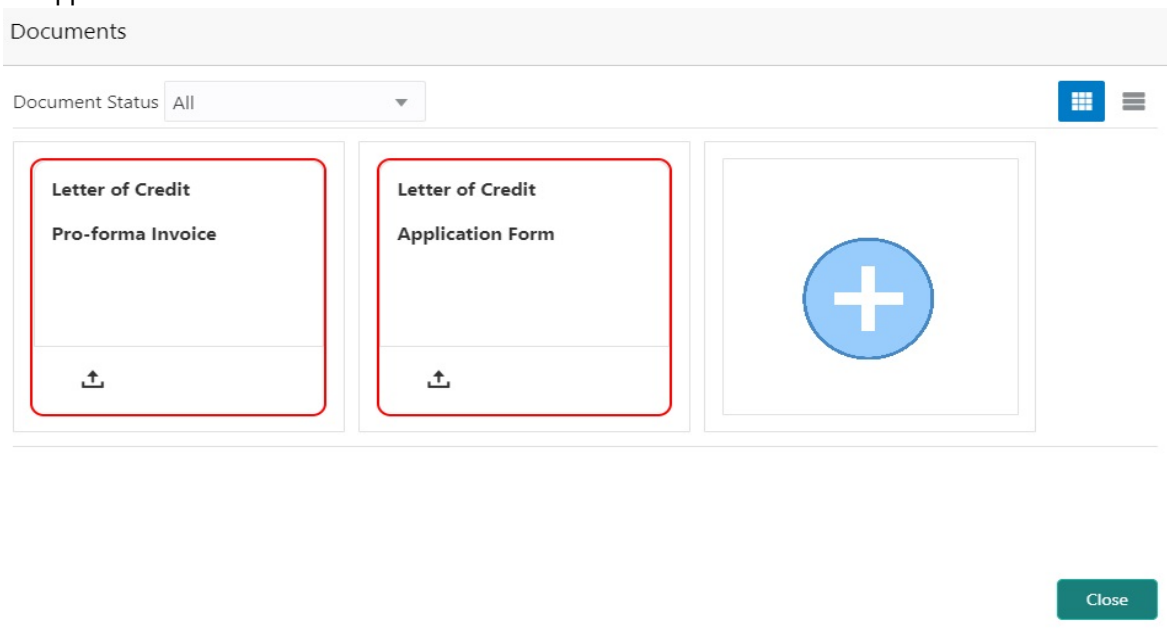
Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit ▼

Document Title *

Document Code *
Insurance Policy ▼

Document Description

Remarks

Document Expiry Date

Drop files here or click to select

[Link Document](#)

Selected files: []

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

The screenshot shows the 'Link Document' search interface. The 'Document' modal is open, displaying 'Document Type' as 'Letter of Credit' and 'Document Code' as 'Insurance Policy'. The 'Link Document' search results table shows one result with 'Document Id' 2400, 'Customer Id' 001044, 'Document Type' INSURANCE, and 'Document Code' INSURANCE. The 'Fetch' button is highlighted.

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from metadata.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from metadata.	
Document Code	This field displays the document code from metadata.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

The screenshot shows a 'Documents' window with a 'Document Status' dropdown set to 'All'. There are three main panels. The first panel, titled 'Letter of Credit', contains a 'Pro-forma Invoice' and an upload icon. The second panel, also titled 'Letter of Credit', contains an 'Application Form' and an upload icon. The third panel shows a file named 'wqwq.png' with a close button, creation details ('Created - 2022-06-28', 'By - PERI01'), a thumbnail, and icons for search, edit, and download. A 'Close' button is at the bottom right.

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

The 'Edit Document' form contains the following fields: 'Document Id' (2400), 'Application Reference Number' (PK2ILCI000019041), 'Document Type Id' (TFPM_DOCTYPE001), 'Remarks' (empty), 'Document Title' (wqwq), 'Entity Reference Number' (PK2ILCI000019041), 'Document Description' (empty), 'Document Expiry Date' (Jun 29, 2022), and a file upload area with the text 'Drop files here or click to select'. The 'Current selected files' list is empty. 'Update' and 'Cancel' buttons are at the bottom right.

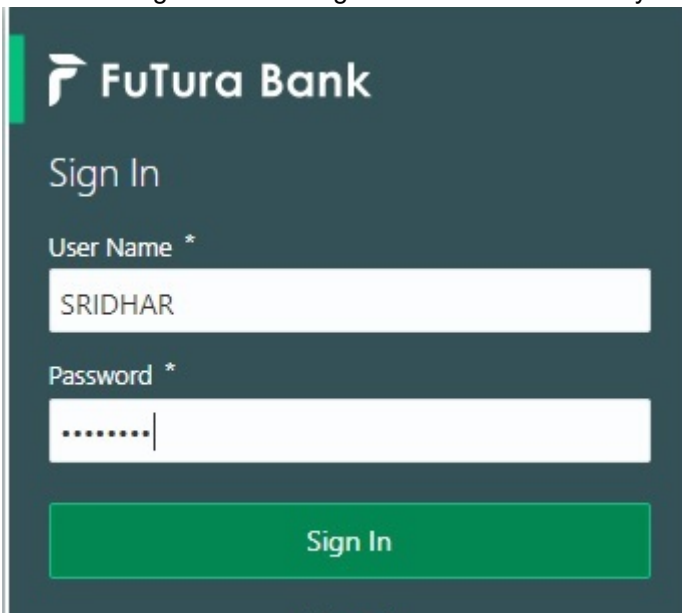
Scrutiny

On successful completion of registration of an Export LC Drawing request, the request moves to Scrutiny stage. At this stage the gathered information during registration are scrutinized.

The requests will have the details entered during the registration stage.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

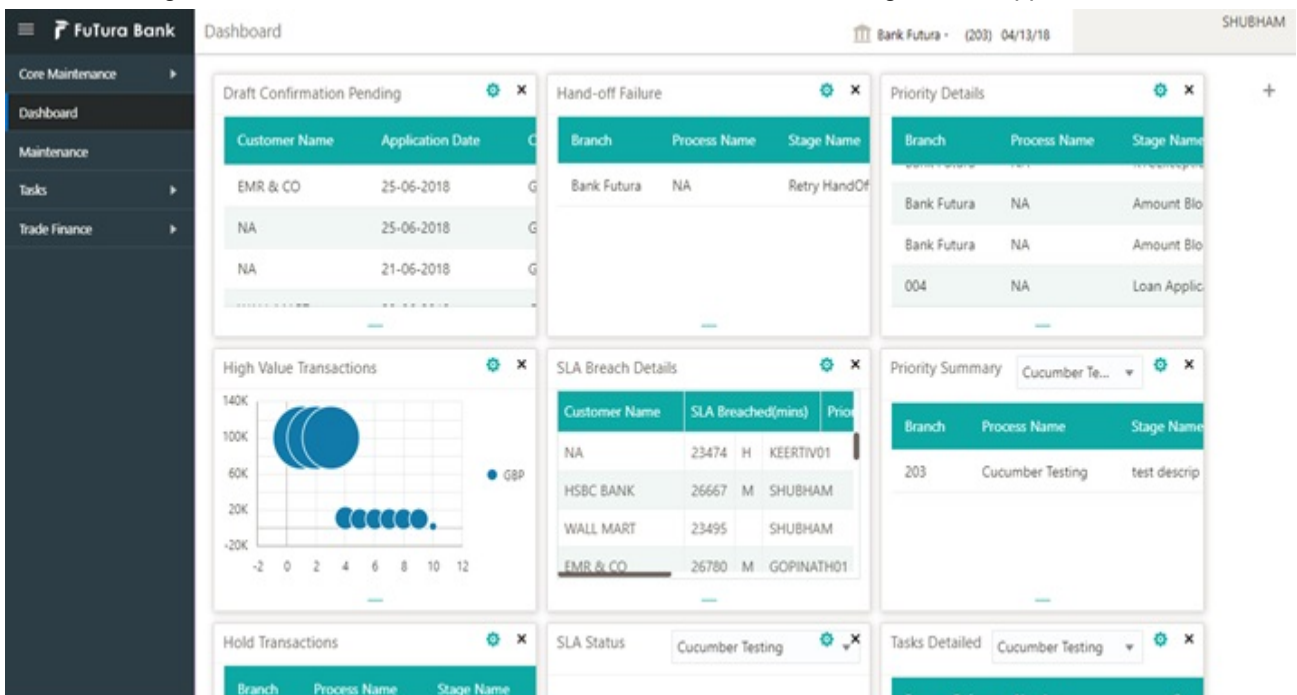
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

- Core Maintenance
- Dashboard
- Maintenance
- Tasks
- Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority	Stage
NA	23474	H	KEERTIV01
HSBC BANK	26667	M	SHUBHAM
WALL MART	23495	M	SHUBHAM
EMR & CO	26780	M	GOPINATH01

Priority Summary

Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

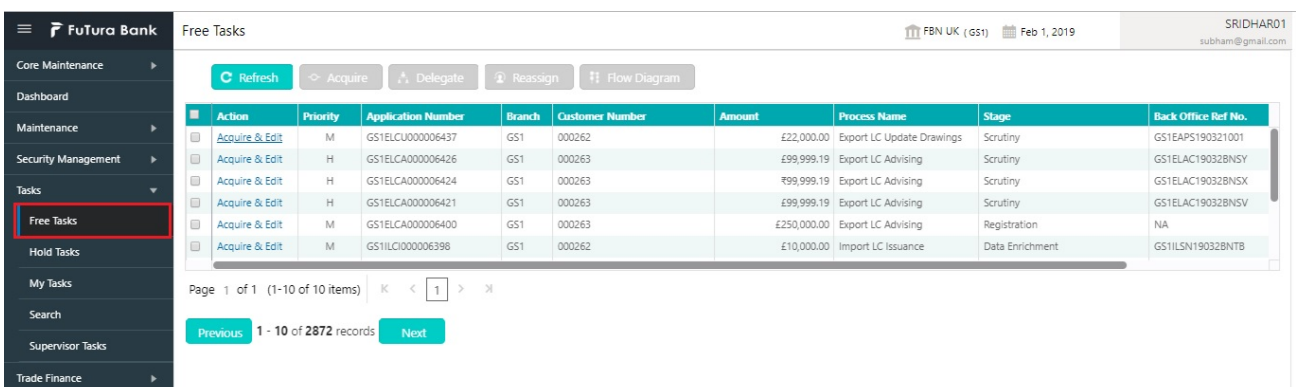
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



FuTura Bank Free Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh **Acquire** **Delegate** **Reassign** **Flow Diagram**

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCU000006437	GS1	000262	£22,000.00	Export LC Update Drawings	Scrutiny	GS1EAPS190321001
Acquire & Edit	H	GS1ELCA000006426	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NSY
Acquire & Edit	H	GS1ELCA000006424	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NSX
Acquire & Edit	H	GS1ELCA000006421	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NSV
Acquire & Edit	M	GS1ELCA000006400	GS1	000263	£250,000.00	Export LC Advising	Registration	NA
Acquire & Edit	M	GS1ILCI000006398	GS1	000262	£10,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NTB

Page 1 of 1 (1-10 of 10 items) **1**

Previous 1 - 10 of 2872 records **Next**

- Core Maintenance
- Dashboard
- Maintenance
- Security Management
- Tasks
 - Free Tasks**
 - Hold Tasks
 - My Tasks
 - Search
 - Supervisor Tasks
 - Trade Finance

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCU000006437	GS1	000262	£22,000.00	Export LC Update Drawings	Scrutiny	GS1EAPS190321001
Acquire & Edit	H	GS1ELCA000006426	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NSY
Acquire & Edit	H	GS1ELCA000006424	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NSX
Acquire & Edit	H	GS1ELCA000006421	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NSV
Acquire & Edit	M	GS1ELCA000006400	GS1	000263	£250,000.00	Export LC Advising	Registration	NA
Acquire & Edit	M	GS1ILCI000006398	GS1	000262	£10,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NTB

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCU000006437	GS1	000262	£22,000.00	Export LC Update Drawings	Scrutiny	GS1EAPS190321001

The Scrutiny stage has the following hops for data capture:

- Main Details
- Document Details
- Additional Conditions
- Shipment Details
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value may not be editable.

When customer initiates an Export LC discrepant presentation response through SWIFT (Corporate to SWIFT) channel, the MT798 message containing the request is parsed and based on the STP parameters maintained, can create an Update of Export LC Drawing Task in OBTFPM.

In OBTFPM, the task is verified, enriched and on approval the task is handed off to back office system to update a export LC drawing process and generate the required messages.

Main Details

Main details section has two sub section as follows:

- Application Details
- Drawing Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Export LC Drawing Update
Scrutiny :: Application No:- PK2ELCU000012994

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View LC

Screen (1 / 8)

Main Details

Application Details

LC Advised by us *

Branch PK2-Oracle Banking Trade Finan...

Document Received date * May 5, 2021

Drawing Reference Number * PK2EUUD211250001

Priority Medium

Process Reference Number PK2ELCU000012994

Export LC Reference Number PK2ELAC211250003

Submission Mode Desk

Beneficiary 001044 GOODCARE PLC

Drawing Date * May 5, 2021

LC Details

Documents Received

Drawing Reference Number PK2EUUD211250001

Date of Expiry Aug 3, 2021

Amount In Local Currency GBP £10,000.00

Operation Type DIS

Rebate Amount GBP

Tenor Type * Usance

Applicant 001043 MARKS AND SP

Presenting Bank

Outstanding Drawing Value GBP £10,000.00

Stage FINAL

Customer Dispatch

Product Code * EUUD

Issuing Bank 003763 CITIBANK IRELA

Presenting Bank Reference Number

Outstanding LC Value GBP £100,000.00

Nego/Finance Amount GBP £3,000.00

Product Description OUTGOING DOCUMENTARY USANCE E

Issuing Bank Reference 43354656

Drawing Amount GBP £10,000.00

Additional Amount

Unlinked FX Rate

Audit

Reject Refer Hold Cancel Save & Close Back Next

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

LC Details

Documents Received

Drawing Reference Number PK2EUUD211250001

Date of Expiry Aug 3, 2021

Amount In Local Currency GBP £10,000.00

Operation Type DIS

Rebate Amount GBP

Tenor Type * Usance

Applicant 001043 MARKS AND SP

Presenting Bank

Outstanding Drawing Value GBP £10,000.00

Stage FINAL

Customer Dispatch

Product Code * EUUD

Issuing Bank 003763 CITIBANK IRELA

Presenting Bank Reference Number

Outstanding LC Value GBP £100,000.00

Nego/Finance Amount GBP £3,000.00

Product Description OUTGOING DOCUMENTARY USANCE E

Issuing Bank Reference 43354656

Drawing Amount GBP £10,000.00

Additional Amount

Unlinked FX Rate

Reject Refer Hold Cancel Save & Close Back Next

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Documents Received	Set the document received status as 'Yes' or 'No' from the LOV.	

Field	Description	Sample Values
LC Drawing Amount	This field displays the drawing amount from the drawing. User can edit the value, if required.	
Additional Amount	This field enables user to provide any additional amount to be processed under the LC drawing.	
Operation Type	Operation type will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Nego/Financing Amount	Negotiation/Financing Amount will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Unlinked FX Rate	Unlinked FX Rate will be auto-populated based on the selected LC from the LOV. User can edit the details, if required.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Submit	<p>Task will get moved to next logical stage of Export LC Update Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Document Details

User can verify the documents received and identify discrepancies, if any. Compare the document received with the required documents and identify the discrepancies, if any. This section also enables

user can add any new documents provided by beneficiary. Provide the information based on the description in the following table:

ORACLE My Tasks (DEFAULTTENITY) (PK2) May 8, 2019 SRIDHAR02 subham@gmail.com

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000058588

Screen (2 / 8)

Main Details
Document Details
Additional Conditions
Shipment Details
Discrepancy Details
Maturity Details
Additional Details
Summary

Document Details
Document Details

Document Code	Document Reference Number	Copy	Original	Description	First Mail Original	First Mail Copy	Second Mail Original	Second Mail Copy	Received Description	Discrepant	Discre
AIRDOC				new clause for airway					Air Way		
INSDOC									Insurance		
INVDOC									Invoice		
MARDOC									Sea Way		
OTHERDOC									OTHERDOC		

Page 1 of 1 (1-5 of 5 items) < 1 >

Audit Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables user to provide the reference number available in the documents.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of the document required as per LC.	
Received Copy	Provide the number of duplicate copies of documents received.	
First Mail Original	Provide the original documents received from first mail.	
First Mail Copy	Provide the copy of the documents received from first mail.	
Second Mail Original	Provide the original documents received from second mail.	
Second Mail Copy	Provide the copy of the documents received from second mail.	
Received Description	Provide the description of the documents received from presenting bank.	

Field	Description	Sample Values
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Action	Click the Edit icon to edit the document details. Click the Delete icon to delete the document details.	

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000058588

Additional Conditions

FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description	Action
		<input checked="" type="checkbox"/>	AMNT	Drawing Amount more than Outstanding LC Amount	

Audit Reject Refer Hold Cancel Save & Close Back Next

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	

Field	Description	Sample Values
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Action	Click the Edit icon to edit the additional condition details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Update Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Shipment Details

User must check whether the received documents of goods and shipment matches the requirement in LC.

ORACLE My Tasks (DEFAULTTENTITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000058588

Screen (4 / 8)

Shipment Details

Goods Details

Select	Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
<input type="checkbox"/>	METAL	Metallic Mercury		<input checked="" type="checkbox"/>	AMNT	Drawing Amount more than O

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Shipping Agent Date of Presentation * May 6, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment		Partially Shipped	<input checked="" type="checkbox"/>		
Trans Shipment			<input type="checkbox"/>		
Place of Taking Charge			<input type="checkbox"/>		
Port of Loading			<input type="checkbox"/>		
Port of Discharge			<input type="checkbox"/>		
Place of Final Destination			<input type="checkbox"/>		
Latest Date of Shipment			<input type="checkbox"/>		
Shipment Period			<input type="checkbox"/>		
Period of Presentation			<input type="checkbox"/>		

Carrier Details

Carrier Name	Port
<input type="checkbox"/> SAS Carrier	London

Audit Reject Refer Hold Cancel Save & Close Back Next

Goods Details

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
Goods Code	This field displays goods code as per latest LC.	
As per LC	This field displays the description of goods as per LC. User can edit the value, if required.	
As per Documents	This field displays the description of the goods as per the documents. User can edit the value, if required.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Country of Origin	Update the country of origin as per the documents.	
Insurance Company	Update the insurance company name, if applicable.	
Insurance Company Address	Update the insurance company details, if applicable.	

Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	Update the date of shipment as per the documents received. This field is mapped against the Latest Date of Shipment in LC to check for discrepancy.	
Carrier Name	Update the carrier name through which the goods are shipped	
Shipping Agent	Update the shipping agent details.	
Date of Presentation	Read only field. Auto-populated based on the system date. If Place of expiry is Issuing bank, This field is mapped against the Expiry Date in LC to check for discrepancy.	
Details	Details represent the fields in latest LC.	

Field	Description	Sample Values
As per LC	This field displays description of the fields as per the latest LC.	
As Per Document	Update the description of the field as per document.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC Terms and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Carrier Details

During shipment, If the shipment involves multiple carrier and or shipment passes through multiple ports, the details will be captured under this segment.

Field	Description	Sample Values
Carrier Name	Update the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	
Port	Update the port details as per the document received, if the ship has transit on its way to the destination.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	

Field	Description	Sample Values
Cancel	Cancel the Export LC Update Drawing Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Discrepancy Details

This section displays the list of discrepancies captured. User can add/update/review the discrepancies identified in the section.

My Tasks

(DEFAULTTENTY)
(PK2) May 6, 2019
SRIDHAR02
subham@gmail.com

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000058588

Screen (5 / 8)

Main Details
Document Details
Additional Conditions
Shipment Details
Discrepancy Details
Maturity Details
Additional Details
Summary

Discrepancy Details

Accept All
Reject All

Select	Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks	Resolved Under MT750	Action
	AMNT	Drawing Amount more than Outstanding LC Amount					

Page 1 (1 of 1 Items)

Audit
Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Accept All	To accept all the discrepancy. System will auto update the Discrepancy status as 'Accepted' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any	
Reject All	To reject all the discrepancy. System will auto update the Discrepancy status as 'Rejected' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	
Resolved Date	Set the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	
Action	Click the Edit icon to edit the discrepancy details. Click the Delete icon to delete the discrepancy details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Update Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

ORACLE My Tasks

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000058588

SRIDHAR02 subham@gmail.com

Screen (6 / 8)

Maturity Details

▲ Maturity Details

Tenor Type:

Tenor Basis:

Start Date: May 6, 2019

Maturity Date: May 27, 2019

Usance Interest Rate:

Interest Amount:

Transit Days:

Interest From Date:

Interest To Date:

Acceptance Commission From Date:

Acceptance Commission To Date:

▲ Multi Tenor

Tenor	Tenor Basis	Start Date	Tenor Days	Transit Days	Maturity Date	Usance Interest Rate	Usance Interest Currency	Interest Amount	Action
2	GA	May 6, 2019	21		May 27, 2019		GBP		<input type="checkbox"/> <input type="checkbox"/>
1	FD	May 6, 2019	20		May 26, 2019		GBP		<input type="checkbox"/> <input type="checkbox"/>

Page 1 of 1 (1-2 of 2 items)

▲ Other Bank Charges

Other Bank Charges-1:

Other Bank Charges-2:

Other Bank Charges-3:

▲ Other Details

Debit Value Date: May 6, 2019

Credit Value Date: May 6, 2019

Value Date: May 6, 2019

Allow Pre-Payment: ☐

Refund Interest: ☐

Transfer Collateral from LC: ☐

▲ Additional Fields

No Additional fields configured!

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	<p>Read only field.</p> <p>This field displays the tenor type as per LC.</p>	
Tenor Basis	<p>Provide the tenor basis or select it from LOV.</p> <p>The tenor base code description is displayed based on the selected tenor basis.</p>	

Field	Description	Sample Values
Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	Provide the usance interest (if any).	
Usance Interest Currency	Provide the usance interest currency (if any).	
Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis	
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest To Date	Select the interest to date.	
Acceptance Commission From Date	Provide the acceptance commission from date.	
Acceptance Commission To Date	Provide the acceptance commission to date.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Tenor	Serial number of the tenor record.	
Tenor Basis	Update the tenor basis, if the tenor is not sight.	
Start Date	Update the tenor start date, if required.	
Tenor Days	Update the number of tenor days, if required	
Transit Days	Update the transit days, if the tenor is sight.	

Field	Description	Sample Values
Maturity date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	Provide the usance interest (if any).	
Usance Interest Currency	Provide the usance interest currency (if any).	
Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis	
Action	<p>Click Edit icon to edit the tenor record.</p> <p>Click Delete icon to delete the tenor record.</p>	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

Field	Description	Sample Values
Allow Pre-Payment	Toggle On: Set the toggle 'On' to enable pre-payment. Toggle Off: Set the toggle 'Off' to disable pre-payment.	
Refund Interest	Toggle On: Set the toggle 'On' to refund the interest. Toggle Off: Set the toggle 'Off' to deny the interest refund.	
Transfer Collateral from LC	Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.	

Additional Fields

Banks can configure these additional fields during implementation.

Additional Details

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000007066

Customer Instruction
Common Group Messages
Incoming Message
View LC

Screen (7 / 8)

Main Details
Document Details
Additional Conditions
Shipment Details
Discrepancy Details
Maturity Details
Additional Details
Summary

Additional Details

Limits and Collaterals	Commission, Charges and...	FX Linkage	Assignment of Proceeds
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	FX Reference Number : Contract Currency : Contract Amount :	Assignment of Proceeds No Assignee Name : Assigned Amount :

Pre Shipment Details

Preshipment Credit :
Outstanding Amount :

Audit
Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make

use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	000153		100	GBP	\$40,000.00			000153	

Cash Collateral Details

Collateral Percentage *
45.0

Collateral Currency and amount
GBP
£18,000.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message
1	GBP	PK100001530038	1	45	£8,100.00	0	VS	The amount can be performed as the f

Save & Close
Cancel

Limit Details

Customer Id
001044

Line ID *
001044

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£2,200.00

Limit Currency
GBP

Limit Available Amount
£999,528,418,464.36

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Delete Icon 	Click delete icon to remove any existing Limit Details.	
Edit	Click edit link to edit the limit details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Customer ID	This field displays the Issuing Bank/ Confirming bank customer ID defaulted from the application.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution%	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

This section displays the collateral details:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

1.0

Collateral Contribution Amount *

\$52.26

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£67.94

Response

VS

Verify

Collateral Amount to be Collected *

\$67.00

Collateral Split % *

78.0

▼ ▲

Settlement Account *

PK20011850014

Q

Exchange Rate

1.3

▼ ▲

Account Available Amount

£999,999,999,999.00

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Charge Details

Commission,Charges and Taxes ×

Recalculate

Redefault

Event

Event Description

Commission Details

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1

(0 of 0 items)

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1

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⏩

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1

(0 of 0 items)

⏪

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1

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⏩

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close

Cancel

This section displays charge details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	

Commission Details

This section displays the commission details:

Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Charge Details		
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	This field displays the tax Component.	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Summary

User can review the summary of details captured under Export LC Update Drawing Scrutiny Stage.

The tiles must display a list of important fields with values. User can drill down the tile and view the details provided in the various data segments.

Oracle My Tasks (DEFAULTTENTY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Drawing Update - Scrutiny :: Application No: PK2ELCU000058588

Summary

Main Details	Document Details	Additional Conditions	Shipment Details
Product Code : EAPM	Document 1 : AIRDOC	FFT Code 1 :	Goods Code :
Currency : GBP	Document 2 : INSDOC	FFT Code 2 :	Goods Description :
Amount : 70000	Document 3 : INVDOC		
	Document 4 : MARDOC		
	Document 5 : OTHERDOC		

Discrepancy Details	Maturity Details	Limits and Collaterals	Commission, Charges and Taxes
Discrepancy Code 1 :	Tenor Type : Mixed	Limit Currency :	Charge : GBP950
Discrepancy Code 2 :	Tenor Basis : First Pres	Limit Contribution :	Commission :
	Maturity Date : 2019-05-27	Limit Status : Not Verified	Tax :
		Collateral Currency : GBP	Block Status : Not Initia
		Collateral Contr. : 78400	
		Collateral Status : Not Verified	

Assignment of Proceeds

Assignment of proceeds : **No**

Assignee Name :

Assigned Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (8 / 8)

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.

- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Submit	Task will get moved to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

Data Enrichment

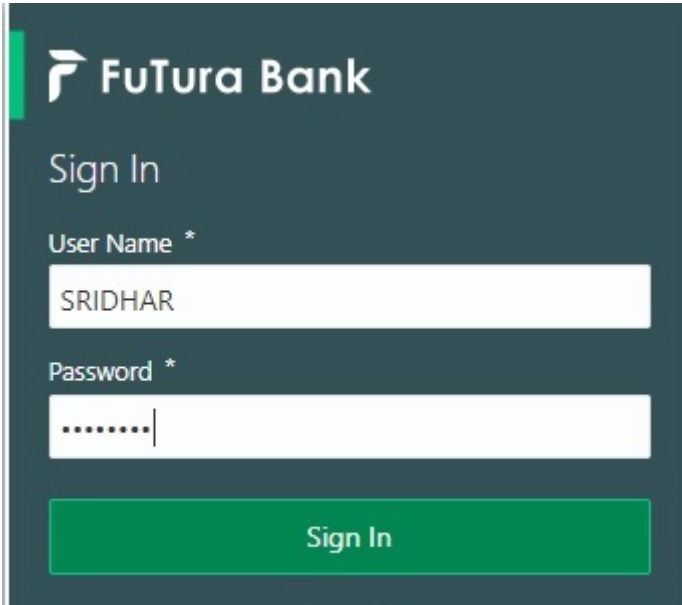
Do the following steps to acquire a task at Data Enrichment stage:



Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

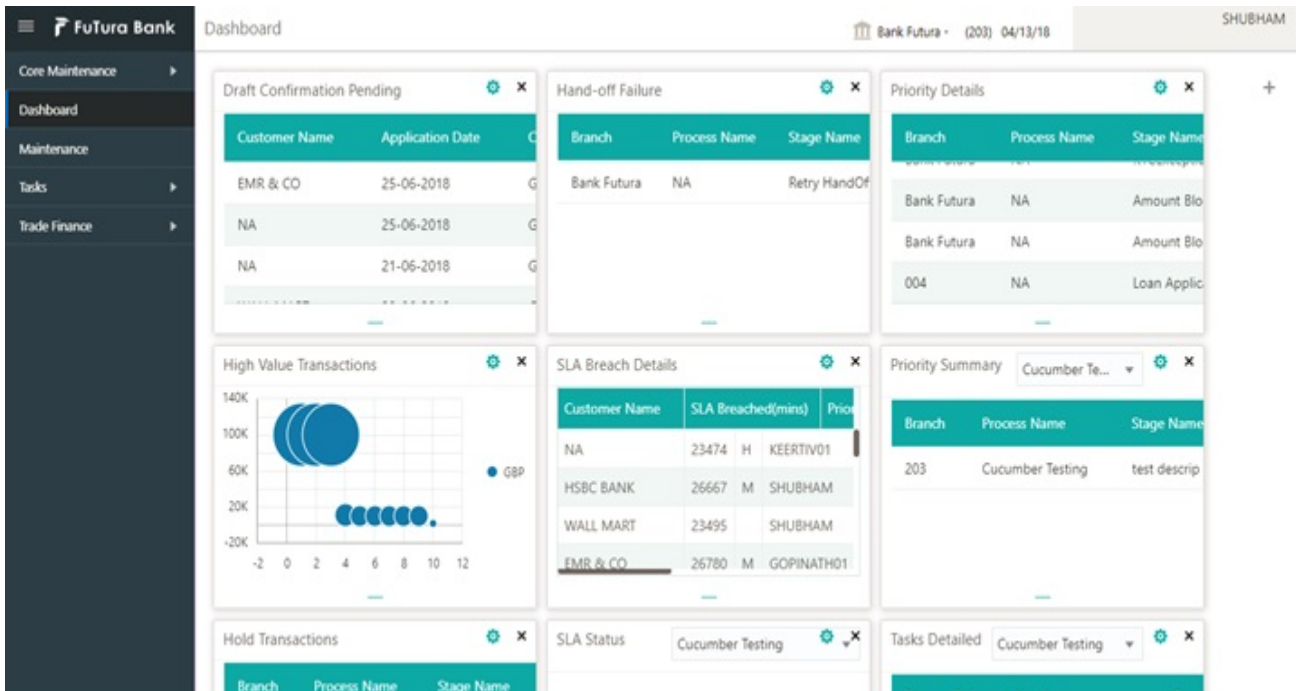
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K

100K

60K

20K

-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
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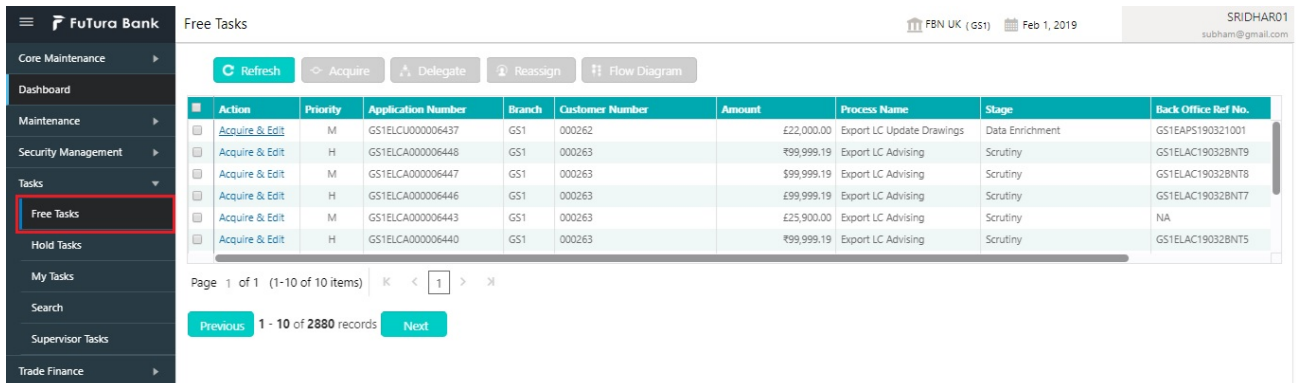
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click Trade Finance> Tasks> Free Tasks.



FuTura Bank Free Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCU000006437	GS1	000262	£22,000.00	Export LC Update Drawings	Data Enrichment	GS1EAPS190321001
Acquire & Edit	H	GS1ELCA000006448	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT9
Acquire & Edit	M	GS1ELCA000006447	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT8
Acquire & Edit	H	GS1ELCA000006446	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT7
Acquire & Edit	M	GS1ELCA000006443	GS1	000263	£25,900.00	Export LC Advising	Scrutiny	NA
Acquire & Edit	H	GS1ELCA000006440	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT5

Page 1 of 1 (1-10 of 10 items)

Previous 1 - 10 of 2880 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCU000006437	GS1	000262	£22,000.00	Export LC Update Drawings	Data Enrichment	GS1EAPS190321001
Acquire & Edit	H	GS1ELCA000006448	GS1	000263	₹99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT9
Acquire & Edit	M	GS1ELCA000006447	GS1	000263	₹99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT8
Acquire & Edit	H	GS1ELCA000006446	GS1	000263	₹99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT7
Acquire & Edit	M	GS1ELCA000006443	GS1	000263	₹25,900.00	Export LC Advising	Scrutiny	NA
Acquire & Edit	H	GS1ELCA000006440	GS1	000263	₹99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NT5

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCU000006437	GS1	000262	£22,000.00	Export LC Update Drawings	Data Enrichment	GS1EAPS190321001

The Data Enrichment stage hop has following hops for data capture:

- Main Details
- Document Details
- Additional Conditions
- Shipment Details
- Discrepancy Details
- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Document Details](#).

Additional Conditions

Refer to [Additional Details](#).

Shipment Details

Refer to [Shipment Details](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Advices

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 (subham@gmail.com)

Export LC Update Drawings - Data Enrichment :: Application No: GS1ELCU000006437

Advices Screen (7 / 10)

Advice : PAYMENT_MESSAGE

Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress Advice : N

Audit Reject Hold Cancel Save & Close Back Next

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01
subham@gmail.com

Export LC Update Drawings - Data Enrichment :: Application No: GS1ELCU000006437

Documents

Remarks

Audit

View LC

Screen (8 / 10)

Main Details

Document Details

Additional Conditions

Shipment Details

Discrepancy Details

Maturity Details

Advices

Additional Details

Settlement Details

Summary

Additional Details

Limit & Collateral

Charge Details

Payment Details

Preview Details

Preview Messages

Settlement Details

FX Linkage

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff

Limit Details

Customer Id

001044

Contribution % *

100.0

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Expiry Date

Line ID *

001044

Limits Description

Contribution Amount *

£2,200.00

Limit Available Amount

£999,528,418,464.36

Response Message

The Earmark can be performed as the f

Verify

Save & Close

Close

the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make

use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	000153		100	GBP	\$40,000.00			000153	

Cash Collateral Details

Collateral Percentage *
45.0

Collateral Currency and amount
GBP
£18,000.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message
1	GBP	PK100001530038	1	45	£8,100.00	0	VS	The amount can be performed as the f

Save & Close
Cancel

Limit Details

Customer Id
001044

Line ID *
001044

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£2,200.00

Limit Currency
GBP

Limit Available Amount
£999,528,418,464.36

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Delete Icon 	Click delete icon to remove any existing Limit Details.	
Edit	Click edit link to edit the limit details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Customer ID	This field displays the Issuing Bank/ Confirming bank customer ID defaulted from the application.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution%	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

This section displays the collateral details:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

1.0

Collateral Contribution Amount *

\$52.26

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£67.94

Response

VS

Verify

Collateral Amount to be Collected *

\$67.00

Collateral Split % *

78.0

▼ ▲

Settlement Account *

PK20011850014

Q

Exchange Rate

1.3

▼ ▲

Account Available Amount

£999,999,999,999.00

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Charge Details

Commission,Charges and Taxes ×

Recalculate

Redefault

Event

Event Description

Commission Details

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1

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Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1

(0 of 0 items)

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⏩

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close

Cancel

This section displays charge details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	

Commission Details

This section displays the commission details:

Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Charge Details		
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	This field displays the tax Component.	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Interest Details

The user can view and modify the Interest Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this section.

Interest Details
×

Recalculate
Redefault

Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Amount	Waive
No data to display.														

Save & Close
Cancel

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> • Fixed Floating • Special 	
Rate Code	This field displays the rate code applicable for the Component.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	

Field	Description	Sample Values
Special Pricing Reference Number	<p>User can capture the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component).</p> <p>Special Pricing Reference is not applicable for Penal Interest components.</p> <p>For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.</p> <div data-bbox="683 602 746 669" data-label="Image"> </div> <p>Note</p> <p>System shows an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.</p>	
Currency	System defaults the Interest currency in this field.	
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	<p>System displays the Charge Party based on the type of transaction.</p> <p>In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..</p>	
Settlement Account	<p>System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.</p> <p>In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).</p>	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Payment Details

Payment Details

Payment Details

Auto Liquidate
☐

Repay Pre-shipment Credit
☐

Reimbursement Days

Pre-shipment Credit Availed
☐

Pre-shipment Credit Repayment Amount
GBP

Reimbursement Date

Pre-shipment Credit A/C No.

Reimbursement Claimed
☐

Liquidate using Collateral
☐

Pre-shipment Credit Outstanding Amount
GBP

Reimbursing Bank

Settlement Details - Liquidation


Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
AMT_PURCHASED	GBP	Debit	PK20037630047	CITIBANK IRELAND	PK2	GBP
AMT_PURCHASEDEQ	GBP	Credit	PK20010440017	GOODCARE PLC	PK2	GBP

Page 1 of 1 (1-2 of 2 items)

Save & Close Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidation Required	<p>Auto Liquidation Required details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy.</p> <p>No: Select No if auto liquidation is not required.</p>	
Pre-shipment Credit Availed	<p>Pre-shipment Credit Availed details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if pre-shipment credit is availed.</p> <p>No: Select No if pre-shipment credit is not availed.</p>	
Reimbursement claimed	<p>Reimbursing Claimed details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if reimbursement to be claimed.</p> <p>No: Select No if there are no reimbursement to be claimed.</p>	
Repay Pre-shipment Credit	<p>Repay Pre-shipment Credit details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if pre-shipment credit to be repaid.</p> <p>No: Select No if there is no pre-shipment credit to be repaid.</p>	

Field	Description	Sample Values
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Reimbursing Bank	<p>Reimbursing bank details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>Select the Reimbursing Bank from the LOV, if the Reimbursement Claimed toggle is set to 'Yes'.</p>  <p>Note</p> <p>In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</p>	
Reimbursement Date	<p>Reimbursement date will be displayed based on the reimbursement days and maturity date.</p> <p>Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.</p>	
Pre-shipment Credit Account Number	This field displays the pre-shipment credit account number.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.

- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate. Provide the FX linkage detail based on the description in the following table:

FX Linkage								
FX Linkage								
FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020
Page 1 of 1 (1 of 1 items) < 1 >								
Average FX Rate								
0								
Save & Close Cancel								

FX Linkage

FX Reference Number *

PK2FXF1200760007

Contract Amount

EUR €1,00,000.00

Linkage Amount *

EUR €99,950.00

Amount in Contract Currency

75187.97

FX Delivery Period From

Currency

EUR

Available Contract Amount

EUR €99,950.00

Rate

1.33

FX Expiry Date

30-Jun-2020

FX Delivery Period To

Save & Close

Close

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	<p>Sum of Linked amount will not be greater than LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	

Field	Description	Sample Values
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Preview

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.

Settlement Details

Provide the settlement details based on the description in the following table:

Oracle My Tasks (DEFAULT IDENTITY) (PK2) May 6, 2019 SRIDHAR02 sridhar02@gmail.com

Export LC Drawing Update - DataEnrichment :: Application No: PK2ELCU000058588

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Settlement Details

☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AMT_PURCHASED	GBP	Debit	PK20010410027	WELLS FARGO LA	GBP	No	N
AMT_PURCHASEDEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		Y
BCOPNGC_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		Y
BCSWFT_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		Y
BILL_AMND_AMT	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	N
CHGT_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N
CHGT_LIQD_AMTEQ	GBP	Debit	PK20010410027	WELLS FARGO LA	GBP	No	N
COLL_LIQ_AMT	GBP	Debit	PK20010410027	WELLS FARGO LA	GBP	No	N
COLL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	N

BILL_AMND_AMT - Party Details

Transfer Type: None

Ordering Institution: Name/Account

Account With Institution: Name/Account

Charge Details:

Senders Correspondent: Name/Account

Beneficiary Institution: Name/Account

Netting Indicator: No

Receivers Correspondent: Name/Account

Ultimate Beneficiary: Name/Account

Ordering Customer: AAEMNL21 Name/Account

Intermediary Institution: Name/Account

Intermediary Reimbursement Institution: Name/Account

Payment Details

Sender To Receiver 1:

Sender To Receiver 2:

Sender To Receiver 3:

Sender To Receiver 4:

Sender To Receiver 5:

Sender To Receiver 6:

Remittance Information

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	

Field	Description	Sample Values
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Update Drawing request.

Oracle My Tasks (DEFAULTTENITY) (PK2) May 6, 2019 SRIDHAR02 subhram@gmail.com

Export LC Drawing Update - DataEnrichment :: Application No: PK2ELCU000058588

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Main Details		Document Details		Additional Conditions		Shipment Details		Discrepancy Details	
Product Code	: EAPM	Document 1	: AIRDOC	FFT Code 1	:	Goods Code	:	Discrepancy Code 1	:
Currency	: GBP	Document 2	: INSDOC	FFT Code 2	:	Goods Description	:	Discrepancy Code 2	:
Amount	: 70000	Document 3	: INVDOC						
		Document 4	: MARDOC						
		Document 5	: OTHERDOC						

Maturity Details		Advices		Commission,Charges and Taxes		Limits and Collaterals		Payment Details	
Tenor Type	: Mixed	Advice 1	:	Charge	: GBP950	Limit Currency	:	Immediate Accept	:
Tenor Basis	: First Pres	Advice 2	:	Commission	:	Limit Contribution	:	Reim Claimed	:
Maturity Date	: 2019-05-27			Tax	:	Limit Status	: Not Verified		
				Block Status	: Not Initia	Collateral Currency	: GBP		
						Collateral Contr.	: 78400		
						Collateral Status	: Not Verified		

Preview Messages		FX Linkage		Settlement Details		Parties Details		Compliance details	
Language	: ENG	Reference Number	:	Component	: LOAN LIQD.	Confirming Bank	: WELLS FARG	KYC	: Not Initia
Preview Message	: -	Contract Amount	:	Account Number	: PK20010410	Drawer	: GOODCARE PLC	Sanctions	: Not Initia
		Contract Currency	:	Currency	: GBP	Drawee	: MARKS AND	AML	: Not Initia

Accounting Details		Assignment of Proceeds		Linked Loan Details	
Event	:	Assignment of proceeds	: No	Loan Account	:
Account Number	:	Assignee Name	:	Loan Currency	:
Branch	:	Assigned Amount	:	Loan Amount	:

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the details of the document.
- Additional Conditions - User can view the details of additional conditions.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Preview - User can view the preview of the message.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view the compliance details.
- Party Details - User can view party details like applicant, advising bank etc.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Submit	Task will get moved to next logical stage of Export LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the DE Stage.]\

The amount Block earmark and Limit Earmarks if applicable are also initiated after the DE stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.

- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Update Drawing Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the customer. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can select a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Update Drawings - KYC Exception Approval :: Application No: GS1ELCU000006437

KYC Exception Summary Screen (2 / 2)

Main Details		Document Details		Shipment Details		Discrepancy Details	
Product Code	: EAPS	Document 1	: INSDOC	Goods Code	: COTTON	Discrepancy Code 1	: ACW
Currency	: GBP	Document 2	: INVDOC	Goods Description	:		
Amount	: 22000	Document 3	: MARDOC				

Maturity Details		Payment Details		Settlement Details		FX Linkage Details	
Tenor Type	: Usance	Immediate Accept	:	Component	: LOAN_LIQD...	Reference No.	:
Tenor Basis	:	Reim Claimed	:	Account Number	: GS10000261...	Contract Amount	:
Maturity Date	: 2019-04-02			Currency	: GBP	Linkage Amount	:

Preview Messages		Advice Details		Limits Details		Party Details	
Language	: ENG	advice1	: PAYMENT_ME...	Limit Currency	: GBP	Drawer	: EMR & CO
Preview Message	: -			Limit Contribution	: 20000	Issuing Bank	: DEUTSCHE B...
				Limit Status	: Not Availa...	Drawee	: NESTLE

Advice Details		Charge		Compliance	
advice1	: PAYMENT_ME...	Charge	:	KYC	: Not Initia...
		Commission	:	Sanctions	: Not Initia...
		Tax	:	AML	: Not Initia...
		Block Status	: Not Initia...		

Audit Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the details of the document.
- Additional Conditions - User can view the details of additional conditions.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Preview - User can view the preview of the message.
- Settlement Details - User can view the settlement details.

- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view the compliance details.
- Party Details - User can view party details like applicant, advising bank etc.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Update Drawing KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTfM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Bill Currency Code
- Applicant Party
- Bill Amount
- Beneficiary Party
- Drawing Currency
- Drawing Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Action	Priority	Application Number	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006454	Export LC Advising	Scrutiny	GS1ELAC190328NTD
Acquire & Edit	H	GS1ELCA000006453	Export LC Advising	Scrutiny	GS1ELAC190328NTC
Acquire & Edit	M	GS1ELCA000006452	Export LC Advising	Scrutiny	GS1ELAC190328NTB
Acquire & Edit	H	GS1ELCA000006451	Export LC Advising	Scrutiny	GS1ELAC190328NTA
Acquire & Edit	H	GS1ELCA000006448	Export LC Advising	Scrutiny	GS1ELAC190328NT9
Acquire & Edit	M	GS1ELCA000006447	Export LC Advising	Scrutiny	GS1ELAC190328NT8

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	

Field	Description	Sample Values
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R5 - Others 	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

Summary

FuTura Bank

Free Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR02
subham@gmail.com

Export LC Update Drawings - Approval1 :: Application No: GS1ELCU000006437

Documents

Remarks

Info

Audit

View LC

Summary

Summary

Main Details

Product Code : EAPS

Currency : GBP

Amount : 22000

Document Details

Document 1 : INSDOC

Document 2 : INVDOC

Document 3 : MARDOC

Shipment Details

Goods Code : COTTON

Goods Description :

Discrepancy Details

Discrepancy Code 1 : ACW

Maturity Details

Tenor Type : Usance

Tenor Basis :

Maturity Date : 2019-04-02

Payment Details

Immediate Accept :

Reim Claimed :

Settlement Details

Component : LOAN_LIQD...

Account Number : GS10000261...

Currency : GBP

FX Linkage Details

Reference No. :

Contract Amount :

Linkage Amount :

Preview Messages

Language : ENG

Preview Message : -

Advice Details

advice1 : PAYMENT_ME...

Limits Details

Limit Currency : GBP

Limit Contribution : 20000

Limit Status : Not Availa...

Collateral Currency : GBP

Collateral Contr. : 200

Collateral Status : Not Verified

Party Details

Drawer : EMR & CO

Issuing Bank : DEUTSCHE B...

Drawee : NESTLE

Advice Details

advice1 : PAYMENT_ME...

Charge

Charge :

Commission :

Tax :

Block Status : Not Initia...

Compliance

KYC : Not Initia...

Sanctions : Not Initia...

AML : Not Initia...

Audit

Reject

Hold

Refer

Cancel

Approve

Screen (1 / 1)

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.

- Shipment Details - User can view the shipment details.
- Documents Details - User can view the documents details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Acceptance/Payment Details - User can view payment details.
- Settlement Details - User can view settlement details.
- FX Linkage - User can view the FX linkage details.
- Preview Message - User can view the preview message.
- Compliance - User can view compliance validation response. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Update Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Drawing Details - User can view the drawing details.
- Documents Under LC - User can view the documents received under the LC for this drawing, if required.
- Shipment - User can view shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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